



# UNIVERSAL PRIME ALUMINIUM LIMITED

(FORMERLY : UNIVERSAL CANS AND CONTAINERS LTD.)

Office : CENTURY BHAVAN, 771, Dr. ANNIE BESANT ROAD, WORLI, MUMBAI - 400 030.  
Phone : 022 - 24307437 / 24304198 ★ Fax : 022 - 2437 0434  
E mail : upalbby@gmail.com

July 14, 2014

To  
Corporate Relationship Department  
BSE Limited  
1<sup>st</sup> Floor, P.J. Towers,  
Dalal Street,  
Mumbai - 400 001

Dear Sir,

**Sub: Submission of Quarterly Report on Shareholding Pattern for the quarter ended June 30, 2014:**

As per the requirements of the Listing Agreement, we furnish herewith a copy of the Shareholding Pattern under clause 35 of the Listing Agreement for the quarter ended June 30, 2014. Kindly acknowledge receipt of the same.

This is for your information and records.

Thanking you,

Yours faithfully,

For UNIVERSAL PRIME ALUMINIUM LIMITED

*T3173407*  
B. L. BAGARIA.  
COMPLIANCE OFFICER

Encl.: as above



## Introductory sub-table (I)(a)

<b>Name of the Company: UNIVERSAL PRIME ALUMINIUM LIMITED</b>			
<b>Scrip Code, Name of the scrip, class of security: BSE - 504673, EQUITY</b>			
<b>Quarter ended: 30th June, 2014</b>			
<b>Partly paid-up shares:-</b>	<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the company</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Outstanding convertible securities:-</b>	<b>No. of outstanding securities</b>	<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Warrants:-</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>		<b>7967443</b>	



## Statement Showing Shareholding Pattern

			Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) <sup>1</sup>	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(VIII)/(IV)
(A)	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
1	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	5	1654163	1654163	20.76%	20.76%	0	0%
(b)	Central Government/ State Government(s)	0	0	0	0.00%	0.00%	0	0%
(c)	Bodies Corporate	6	2169615	2169615	27.23%	27.23%	0	0%
(d)	Financial Institutions/ Banks	0	0	0	0.00%	0.00%	0	0%
(e)	Any Others(Specify)	0	0	0	0.00%	0.00%	0	0%
	<b>Sub Total(A)(1)</b>	<b>11</b>	<b>3823778</b>	<b>3823778</b>	<b>47.99%</b>	<b>47.99%</b>	<b>0</b>	<b>0%</b>
2	<b>Foreign</b>							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0%
b	Bodies Corporate	0	0	0	0.00	0.00	0	0%
c	Institutions	0	0	0	0.00	0.00	0	0%
	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0%
e	Any Others(Specify)	0	0	0	0.00	0.00	0	0%
	<b>Sub Total(A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0%</b>
	<b>Total Shareholding of</b>	<b>11</b>	<b>3823778</b>	<b>3823778</b>	<b>47.99%</b>	<b>47.99%</b>	<b>0</b>	<b>0%</b>
(B)	<b>Public shareholding</b>							
1	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	2	123800	119200	1.55%	1.55%		
(b)	Financial Institutions/ Banks	2	2594	1944	0.03%	0.03%		
(c)	Central Government/ State Government(s)	0	0	0	0.00%	0.00%		
(d)	Venture Capital Funds	0	0	0	0.00%	0.00%		
(e)	Insurance Companies	0	0	0	0.00%	0.00%		
(f)	Foreign Institutional Investors	0	0	0	0.00%	0.00%		





(g)	Foreign Venture Capital Investors	0	0	0	0.00%	0.00%		
(h)	Qualified Foreign Investor	0	0	0	0.00%	0.00%		
(i)	Any Other (specify)	0	0	0	0.00%	0.00%		
	<b>Sub-Total (B)(1)</b>	<b>4</b>	<b>126394</b>	<b>121144</b>	<b>1.59%</b>	<b>1.59%</b>		
<b>B 2</b>	<b>Non-institutions</b>							
(a)	Bodies Corporate	91	261003	83559	3.28%	3.28%		
(b)	Individuals							
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	28528	3106499	871632	38.99%	38.99%		
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	15	645700	621507	8.10%	8.10%		
(c)	Qualified Foreign Investor	0	0	0	0.00%	0.00%		
(a)	Any Other (Clearing Member)	1	1100	1100	0.00%	0.01%		
(d-i)	Non Resident Individual	9	3039	1039	0.04%	0.04%		
	<b>Sub-Total (B)(2)</b>	<b>28644</b>	<b>4017371</b>	<b>1578837</b>	<b>50.42%</b>	<b>50.42%</b>		
<b>(B)</b>	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>28648</b>	<b>4143765</b>	<b>1699981</b>	<b>52.01%</b>	<b>52.01%</b>		
	<b>TOTAL (A)+(B)</b>	<b>28659</b>	<b>7967543</b>	<b>5523759</b>	<b>100%</b>	<b>100%</b>		
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
1	Promoter and Promoter Group	0	0	0	0.00%	0.00%		
2	Public	0	0	0	0.00%	0.00%		
	<b>Sub-Total (C)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00%</b>	<b>0.00%</b>		
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>28659</b>	<b>7967543</b>	<b>5523759</b>	<b>100%</b>	<b>100%</b>	<b>0</b>	<b>0</b>

**Sr.No Notes**

1	
2	



Sr.No	Notes
1	
2	
3	
4	
5	
6	
7	
8	
9	



(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

A. Individual/ Hindu Undivided Family

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) % of diluted share capital
		Number of shares held	As a % of grand total (A) +(B) +(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a )	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	PRAKASH KUMAR MOHTA	1472370	18.48	0	0.00	0.00	0	0	0	0	18.48
2	MAITREYI KANDOI	99629	1.25	0	0.00	0.00	0	0	0	0	1.25
3	JAYANTIKA MOHTA	82164	1.03	0	0.00	0.00	0	0	0	0	1.03
TOTAL		1654163	20.76	0	0.00	0.00	0	0	0	0	20.76

B. Bodies Corporate

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B) +(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a )	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	Pratibha Manufacturing & Marketing Ltd.	948025	11.8987	0	0	0	0	0	0	0	11.8987
2	Pee Bee Steel Industries Limited	718645	9.0198	0	0	0	0	0	0	0	9.0198
3	Avadh Merchantile Company Ltd.	321483	4.0350	0	0	0	0	0	0	0	4.0350
4	Universal Autocrafts Pvt. Ltd.	96228	1.2078	0	0	0	0	0	0	0	1.2078
5	Universal Enterprises Ltd.	67340	0.8452	0	0	0	0	0	0	0	0.8452
6	Unique Manufacturing & Marketing Ltd.	17894	0.2246	0	0	0	0	0	0	0	0.2246
TOTAL		2169615	27.2311	0	0.00	0	0	0	0	0	27.2311



**(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares(including underlying shares assuming full conversion of warrants and convertible
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	PRATIBHA KHAITAN	175271	2.1998	0	0	0	0	2.1998
2	CANARA ROBECO MUTUAL FUND A/C GAD	119200	1.4961	0	0	0	0	1.4961
3	P. P. PACKAGING PRIVATE LIMITED	106760	1.3400	0	0	0	0	1.3400
4	HITESH RAMJI JAVERI	100101	1.2564	0	0	0	0	1.2564
	i) Jt-1: Radhabai Ramji Javeri							
	ii) Jt -2: Harsha Hitesh Javeri							
5	BHUPENDRA V GANDHI	99131	1.2442	0	0	0	0	1.2442
	Jh-1 SAROJ B GANDHI							
<b>TOTAL</b>		<b>600463</b>	<b>7.5365</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>7.5365</b>





**(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company**

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares(including underlying shares assuming full conversion of warrants and convertible securities) as % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NOT APPLICABLE	0	0.00	0	0	0	0	
2			0.00		0		0	
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	

**(I)(d) Statement showing details of locked-in shares**

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a)}
1	NOT APPLICABLE	0	0.00
2			0.00
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>





**(II)(a) Statement showing details of Depository Receipts (DRs)**

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NOT APPLICABLE	0	0	0.00
<b>TOTAL</b>		<b>0</b>	<b>0</b>	<b>0.00</b>

**(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares**

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NOT APPLICABLE	0	0	0.00
2				0.00
<b>TOTAL</b>			<b>0</b>	<b>0.00</b>

For Universal Prime Aluminium Ltd.

  
Authorised Signatory.